

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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First Named Inventor : Toomey, Christopher Newell  
Serial No. : 10/759,596  
Filed : January 15, 2004  
Art Unit : 2137  
Confirmation Number : 8695  
Examiner : Khoshnoodi, N.  
Title : METHOD AND SYSTEM FOR TRUST-BASED,  
FINE-GRAINED RATE LIMITING OF NETWORK  
REQUESTS  
Attorney Docket No. : AOL0133

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Date: April 31, 2007

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Examiner:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97(c) together with either a:  
( ) Certification under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

( ) under 37 CFR 1.97(d) together with a:

( ) Certification under 37 CFR 1.97(e), and

( ) a \$180.00 fee under 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

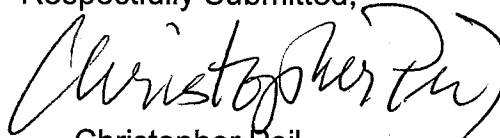
(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. AOL0133). A copy is enclosed for accounting purposes.

(X) Applicant(s) submit herewith PTO Form 1449 (Modified) -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449 (Modified), as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO Form 1449 (Modified) and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Christopher Peil  
Reg. No. 45,005

Customer No. 22862

<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	<b>Atty. Docket No.</b>	<b>Serial No.:</b>
	AOL0133	10/759,596
	<b>Applicant:</b> Toomey, Christopher Newell	
	<b>Filing Date:</b> 01/15/2004	<b>Group:</b> 2137 <b>Confirmation No.</b> 8695

**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

**Other Documents**

Examiner Initial	Cite No.	Author, Title, Date, Place (e.g. Journal) of Publication
	1	VENTER, H.S., et al; <u>Vulnerabilities Categories For Intrusion Detection Systems</u> ; Computers & Security, Vol.21, No.7 P.617-19; Elsevier, 2002; UK;
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	3	GLOVER, I.; <u>The Rancour Of Infosecurity Recruitment</u> ; Secure Computing (International Edition) P.40-4; West Coast Publishing, Nov. 2000; UK;
	4	MIDDLETON, B.; <u>Using The Hacker's Toolbox [Computer Network Security]</u> ; Security Management Vol.43, No.6 P.59-65; ASIS,; June 1999; USA;
	5	SCHULTZ, E.; <u>Advanced Windows NT Security: Password Security</u> ; Computer Security Journal Vol.15, No.2 P.21-8; Comput. Security Inst.; Spring 1999; USA;
	6	GARCEAU, L.; <u>The Threat Of Social Engineering [System Security And Social Interactions]</u> ; Ohio CPA Journal Vol.56, No.1 P.42-4; Ohio Soc. Certified Public Accountants; Feb. 1997; USA;
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	13	SUNG-MING YEN; JUO-HONG LIAO; <u>Shared Authentication Token Secure Against Replay And Weak Key Attacks</u> ; Information Processing Letters Vol.62, No.2 P.77-80; 28 April 1997; Netherlands;
	14	HARTSON, H.R., et al; <u>A Model Of Enforcement Relationships Among Database Access Control Dependencies</u> ; Journal Of Systems And Software Vol.3, No.3 P.201-17; Sept. 1983; USA;
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	16	<u>PRODUCTS OF THE YEAR -- Among The Thousands Of New Products That Appeared In 2000, The Editors Of Network Magazine Recognize 34 That Stand Out From The Rest</u> ; (News Briefs); Network Magazine, 42; May 1, 2001;
	17	TAYLOR, A.; <u>A Question Of Security</u> ; Telecommunications, 35, 1, 83; Jan, 2001;
	18	HANNAN, J.; <u>A Primer On Micro-To-Mainframe Links</u> ; Infosystems, V33, N2, P32-34; Feb. 1986;
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.